



Attorney's Docket No.: 07039-104002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Jens Ponikau  
Serial No. : 09/500,115  
Filed : February 8, 2000

Art Unit : 1616  
Examiner : Marina Lamm  
Confirmation No.: 2144

Title : METHODS FOR TREATING AND PREVENTING ASTHMA AND NON-  
INVASIVE FUNGUS-INDUCED RHINOSINUSITIS

Notice of Allowance Date: January 21, 2003

Attention: Official Draftsman  
Commissioner for Patents  
Washington, D.C. 20231

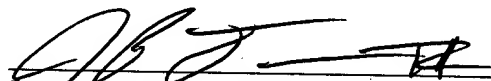
TRANSMITTAL OF FORMAL DRAWINGS

In response to the Notice of Allowability mailed January 21, 2003, please substitute the enclosed two (2) sheets of formal drawings for the corresponding drawings presently in the application.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: February 12, 2003

  
J. Patrick Finn III, Ph.D.  
Reg. No. 44,109

Fish & Richardson P.C., P.A.  
60 South Sixth Street  
Suite 3300  
Minneapolis, MN 55402  
Telephone: (612) 335-5070  
Facsimile: (612) 288-9696

60126903.doc

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Date of Deposit

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Brenda L. Taylor  
Typed or Printed Name of Person Signing Certificate



#30  
CA  
4-16-03

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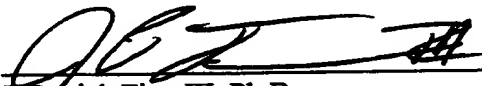
COMMENTS ON STATEMENT OF REASONS FOR ALLOWANCE

In response to the notice of allowance mailed January 21, 2003, Applicant submits the following. Two Cody *et al.* references have been considered: (1) Cody *et al.*, *Larynoscope*, 1994, 104:1074-1079 ("AJ" reference considered July 25, 2000) and (2) Cody *et al.*, "Allergic Fungal Sinusitis (AFS) and AFS-Like Syndrome," In: *Rhinologic Diagnosis and Treatment*, McCaffre (ed.), New York, Thieme, 1997, Ch. 15, pgs. 317-333 ("AL" reference considered June 26, 2001). Applicant agrees that the prior art fails to disclose the method of treating asthma and non-invasive fungus-induced rhinosinusitis as claimed. Applicant also notes, however, that at no point do the Cody *et al.* references disclose a method for treating a mammal having non-invasive fungus-induced rhinosinusitis with an antifungal agent.

While no fee is believed due, please apply any charges or credits to Deposit Account No. 06-1050.


Respectfully submitted,

Date: February 12, 2003

  
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Reg. No. 44,109

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Brenda L. Taylor  
Typed or Printed Name of Person Signing Certificate

# PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail** Box ISSUE FEE  
Commissioner for Patents  
Washington, D.C. 20231  
**Fax** (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

26191 7590 01/21/2003

FISH & RICHARDSON P.C.  
3300 DAIN RASCHER PLAZA  
60 SOUTH SIXTH STREET  
MINNEAPOLIS, MN 55402



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

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Brenda L. Taylor

(Depositor's name)

*B. Taylor*

(Signature)

February 12, 2003

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/500,115	02/08/2000	Jens Ponikau	07039-104002	2144

TITLE OF INVENTION: METHODS FOR TREATING AND PREVENTING ASTHMA AND NON-INVASIVE FUNGUS-INDUCED RHINOSINUSITIS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$650	\$300	\$950	04/21/2003

EXAMINER	ART UNIT	CLASS-SUBCLASS
LAMM, MARINA	1616	514-031000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Fish & Richardson, P.C.,  
P.A.  
2 \_\_\_\_\_  
3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Mayo Foundation for Medical Education and Research

Rochester, Minnesota

Please check the appropriate assignee category or categories (will not be printed on the patent) ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee
- ☒ Advance Order - # of Copies 10

4b. Payment of Fee(s):

☒ A check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 06-1050 (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

J. Patrick Finn, III, Ph.D. Reg. # 44,109

(Authorized Signature)

(Date)

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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02/20/2003 BSAYAS12 00000082 09500115

01 FC:2501 650.00 DP  
02 FC:1504 300.00 DP  
03 FC:8001 30.00 DP

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CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)



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## Certificate of Mailing

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(Depositor's name)

(Signature)

(Date)

APPLICATION NO.	FILING DATE	TOTAL CLAIMS	EXAMINER AND GROUP ART UNIT	DATE MAILED
09/500,115	03/20/00	006	TAPOLCAI, W	3744 08/29/01
First Named Applicant: ISHIO, Haruyuki				
35 USC 154(b) term ext. = 0 Days.				
TITLE OF INVENTION: SELF-DIAGNOSING APPARATUS FOR REFRIGERATOR				

TITLE OF INVENTION

ATTY'S DOCKET NO.	CLASS-SUBCLASS	BATCH NO.	APPLN. TYPE	SMALL ENTITY	FEE DUE	DATE DUE
2-10702-0001-2PCT	052-127.000	L32	UTILITY	NO	\$1200.00	11/29/01

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).  
Use of PTO form(s) and Customer Number are recommended, but not required.

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47) attached.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 OBLON, SPIVAK,

2 McCLELLAND, MAIER

3 &amp; NEUSTADT, P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)  
**PLEASE NOTE:** Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the PTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

MATSUSHITA REFRIGERATION COMPANY

(B) RESIDENCE (CITY &amp; STATE OR COUNTRY)

Higashi-osaka-shi, JAPAN

Please check the appropriate assignee category indicated below (will not be printed on the patent)

☐ Individual ☒ corporation or other private group entity ☐ government

4a. The following fees are enclosed (make check payable to Commissioner of Patents and Trademarks):

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The COMMISSIONER OF PATENTS AND TRADEMARKS IS requested to apply the Issue Fee to the application identified above.

(Authorized Signature)

Paul Sashu # 43,418

(Date) 10/16/01

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10/17/2001 MEMO 0000025 0300115

01 FC:142

1200.00 BP

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**BOX ISSUE FEE**

Commissioner for Patents  
Washington, D.C. 20231


RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed January 21, 2003, enclosed are a completed issue fee transmittal form PTOL-85b, Comments on Statement of Reasons for Allowance, transmittal of 2 sheets of formal drawings, and a check for \$980 for the required issue fee and publication fee, including patent copies.

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date: February 12, 2003

  
J. Patrick Finn III, Ph.D.  
Reg. No. 44,109

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Brenda L. Taylor  
Typed or Printed Name of Person Signing Certificate